

02-09-01

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**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

**[x] Duplicate  
(check, if applicable)**

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

**Attorney Docket No. 080350.0004  
Express Mail Label No. EL098882571US  
Total Pages of Transmittal Form: 3**

Sir:

Transmitted herewith for filing is the non-provisional utility patent application of:

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**Post Office Address for all of the above inventors:**  
c/o Ricoh Company, Ltd.  
3-6, Nakamagome 1-chome,  
Ohta-ku, Tokyo 143-8555, JAPAN

**Entitled:** DEVICE MANAGEMENT SYSTEM, MANAGEMENT CLIENT,  
CONTROLLER SERVER, METHOD FOR MANAGING USAGE  
CONTEXT OF DEVICE, AND RECORDING MEDIUM WHICH  
RECORDS THE METHOD BACKGROUND OF THE INVENTION

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. &@ filed&@.



09779138 : 020801

Anticipated Group/Art Unit: \_\_\_\_\_ or Class \_\_\_\_\_, Subclass \_\_\_\_\_.

- ☐ This non-provisional patent application is based on Provisional Patent Application No. &@, filed &@.
- ☒ This application hereby claims foreign priority benefits under Title 35, United States Code, § 119 of any foreign application for patent or inventor's certificate on the invention listed below.

### Prior Foreign Application

2000-30034 (Number)	JAPAN (Country)	08 02 2000 (Day/Month/Year Filed)	Priority Claimed	
			Yes	No
			X	

**Assignee:** Ricoh Company, Ltd., 3-6, Nakamagome 1-chome, Ohta-ku, Tokyo 143-8555, Japan

Enclosed are:

- ☒ Specification (including Abstract) and claims: **64** pages.
- ☐ Newly executed Declaration/Power of Attorney (original & copy).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ **30** drawings (formal [31 sheets]) plus one copy (**Fig. 1, 2A-B, 3, 4A-C, 5A-B, 6A-B, 7, 8A-B, 9A-B, 10, 11A-B, 12, 13A-B, 14, 15A-D, 16, 17, 18A-B, 19, 20, 21A-B, 22, 23, 24A-B, 25, 26, 27A-D (2 pp.), 28, 29 & 30**).
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy    ☐ Paper Copy    ☐ Verified Statement.
- ☐ Under PTO-1595 cover sheet, an assignment of the invention.
- ☐ Certified copy of Japanese Application No(s). \_\_\_\_\_; filed \_\_\_\_\_ is/are filed:
  - ☐ herewith    or    ☐ in prior application &@.
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO-1449, and cited references.
- ☐ Other: Recordation cover sheet with Assignment & Declaration
- ☒ Prepaid postage return postcard – please stamp and return.

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$355			BASIC FEE: \$710	
Total	21-20 =	1	X9	\$	OR	X18	\$ 18.00
Independent	11-3 =	8	X40	\$	OR	X80	\$ 640.00
<input type="checkbox"/> Multiple Dependent Claims Present			\$135	\$	OR	\$270	\$
<input type="checkbox"/> Recordation/Assignment			\$ 40.00			\$40.00	\$ 00.00
			<b>TOTAL</b>	<b>\$</b>	<b>OR</b>	<b>TOTAL</b>	<b>\$ 1,368.00</b>

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ Checks in the amount of \$1,368.00 to cover the filing and Recordation/Assignment fees are enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 (080350.0004) as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- ☐ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☐ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

☒ Customer Number: 000270

**CORRESPONDENCE ADDRESS:**

February 8, 2001  
(Date)

By: Alex Chartove

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